



TFW 3692

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan, Ronald

Serial No.: 09/930,786

Filing Date: August 15, 2001

Title: FINANCIAL PORTFOLIO RISK  
MANAGEMENT

Docket No: 60021-375602

Group Art Unit: 3692

Examiner: Nguyen, Nga B.

Mail Stop **Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing/Transmittal

I hereby certify that this correspondence is being facsimile transmitted to the USPTO (Fax. No. 571.273.8300) or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Debra J. Bruch 12-22-06  
Date

**STATUS REQUEST**

Dear Sir:

The above-referenced patent application has now been on file for over five years. A Request for Continued Examination was filed on September 12, 2005 in response to a Final Office Action dated June 16, 2005. Please provide a status of this application.

No fees are believed to be due at this time. However, if we have miscalculated the Commissioner is authorized to charge our deposit account number 50-1901 for any fees that may be due.

Any inquiry regarding this matter should be directed to Rudolph P. Hofmann at (612) 607-7340.

Respectfully submitted,

By: [Signature]  
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